



# **REA Central Validation Service**

## **Legal Validation & Financial Capacity Assessment**

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# Presentation Outline

REA Central Validation  
Service

Registration of  
Participants

Legal validation and LEAR

Communication

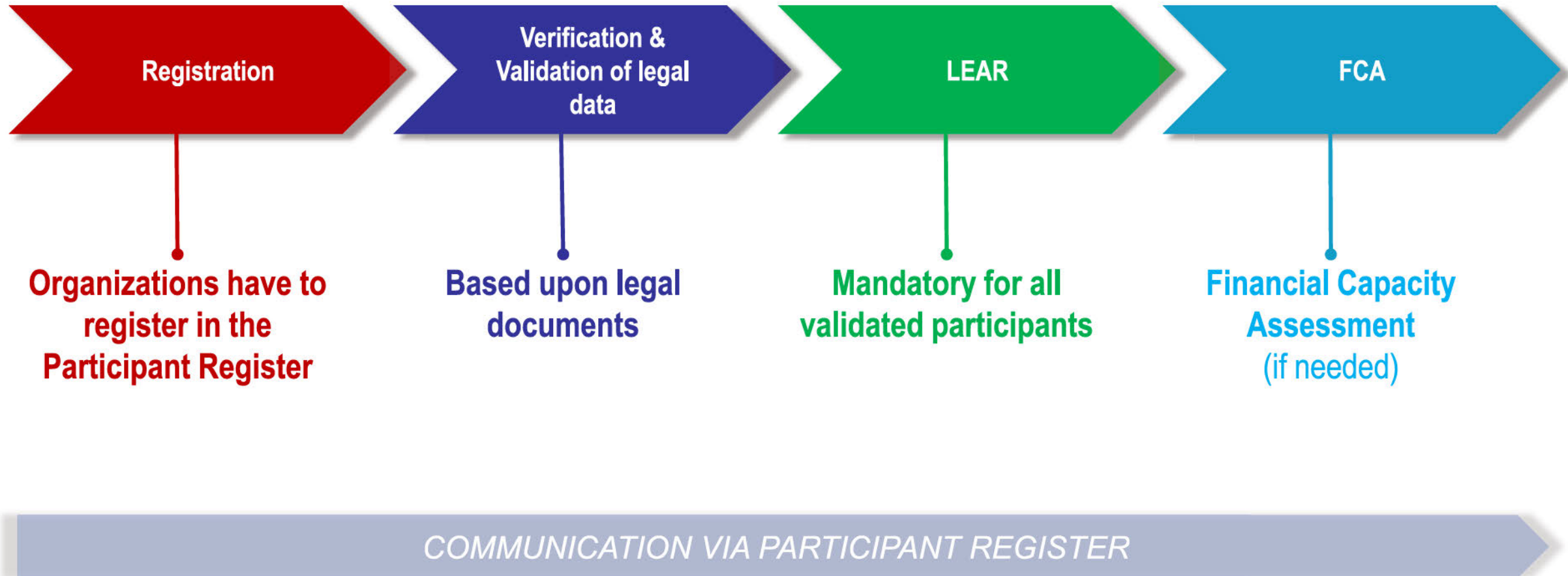
Financial capacity  
assessment

# REA Central Validation Service

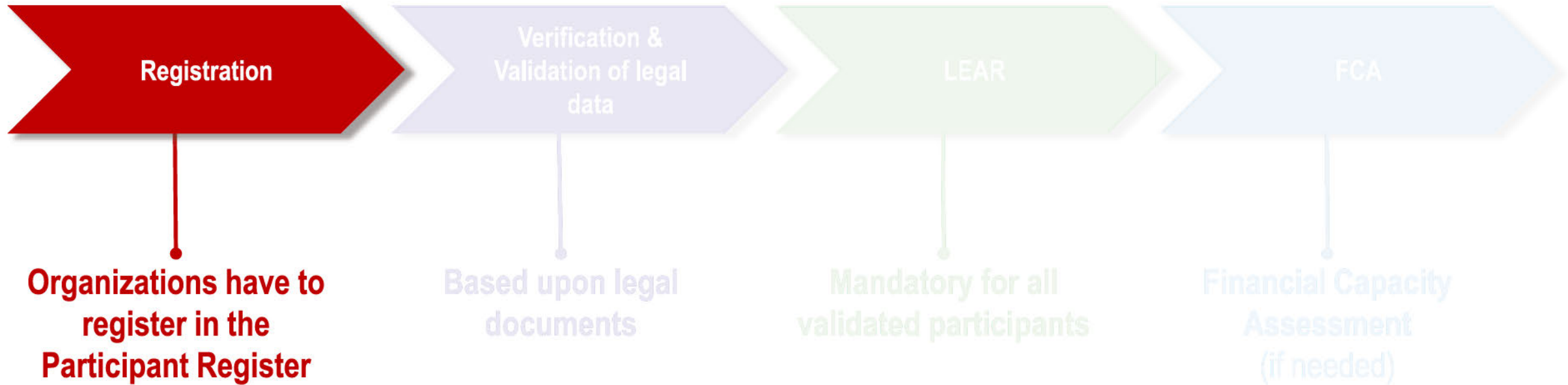
## (REA CVS)

- Verifies **legal existence and legal statuses** of entities
- Validates the appointment of **Legal Entity Appointed Representatives (LEARs)**
- Validates **legal changes** of validated entities
- Assesses **universal takeovers (UTROs)** of validated entities
- Encoding **Bank Account requests**
- Prepares the **Financial Capacity Assessment**
- Performs **ownership control assessments** for specific programmes
- Performs ex-post status verifications (e.g. **SME & MID cap status checks**)

# Validation Process Overview



# Validation Process Overview





# Registration of an organisation (at proposal stage)



European  
Commission

## EU Funding & Tenders Portal

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[Home](#) > [Funding](#) > [Participant Register](#)

## Participant Register



The participant register is now multilingual! Select your preferred language from the top right corner of the Portal.

If you want to participate in a call for proposals or in a call for tenders with eSubmission, your organisation needs to be registered and have a 9-digit Participant Identification Code (PIC). Please quote your PIC in all correspondence with the Commission.

The register contains all participants of EU programmes.

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# Registration of an organisation (at proposal stage)



European  
Commission

EU Funding & Tenders Portal

Sign in

EN

Home

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Search...



## Is your organisation already registered? PIC search


Please check whether your organisation has already been registered. If so, no need to register it again.

 Find a registered organisation

## Register your organisation

To register your organisation or as a natural person, you need to login into the Portal or, if you are a new user, create your account.

Check what information you need to register in the Online Manual - and keep it to hand during the registration procedure. To start registration, click on the button below.

 Register your organisation

You can suspend the registration process at any time: the data entered can be saved as draft and you can continue your registration later. To return to your registration, please log in and get into My Organisation(s) in the My Area section. Incomplete, draft registrations are automatically deleted after one year.

Additionally, for actions where the SME status is an eligibility criterion (like, e.g. the EIC Accelerator) you must also carry out the SME self-assessment.

Should your project or tender be successfully evaluated, the registered data will be verified by the Central Validation Service team before the signature of the Grant Agreement or of the Framework Contract.

Registration

Validation & Verification of  
Legal Data

LEAR

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# How to register in the Participant Register

Participant's Register Need help?

1 2 3 4 5 6

Identification Organisation Data Legal Information Authorised Users Summary Success

Identification

Legal name \* ⓘ Legal name 240

Registration country \* ⓘ Registration country 50

Registration number ⓘ Registration number 20

VAT number \* ⓘ VAT number 500 ☐ not applicable

[Review the Form](#) [Next](#)

**Registration completed**

**New Participant Identification Code (PIC)  
in a "declared" status**

**Identification**

(e.g. Legal name, VAT number)

**Organisation data**

**Legal information**

**Authorised users**

(e.g. Name, e-mail address of the self-registrant and the back-up)

**Registration**

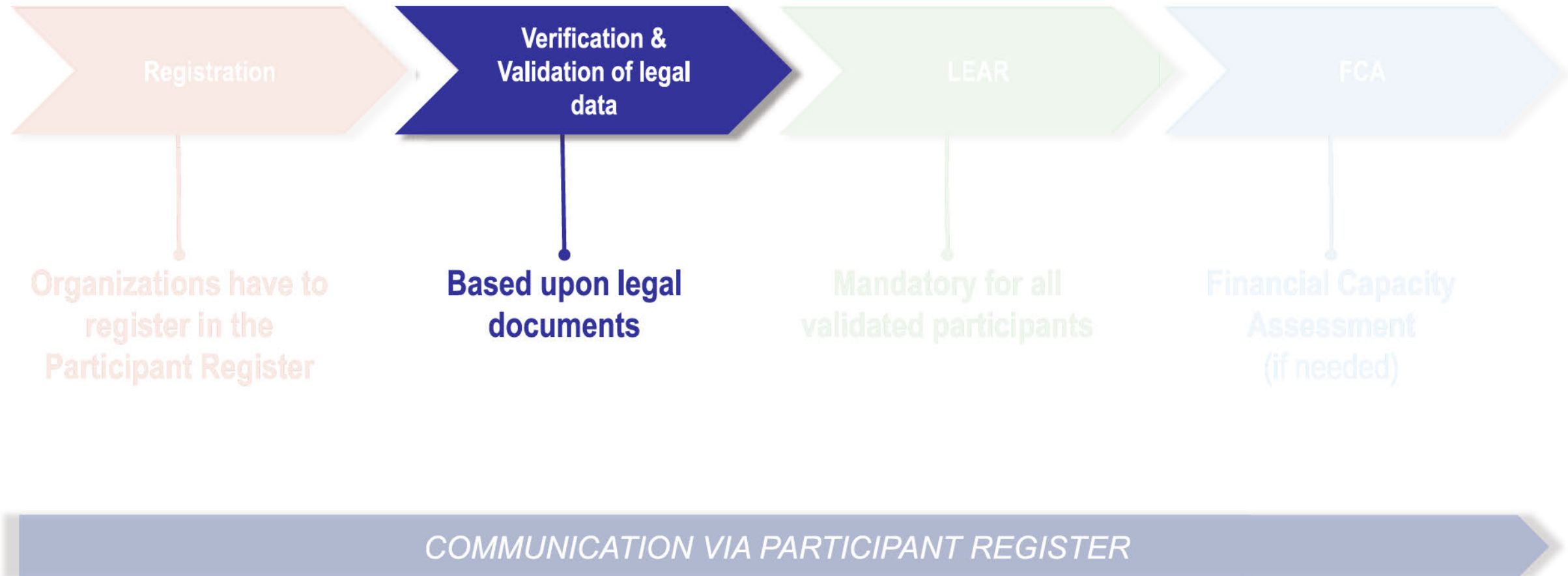
Validation & Verification of  
Legal Data

LEAR

FCA



# Validation Process Overview



# Legal validation

- Project PROPOSALS can be submitted with a DECLARED (*NON-VALID*) PIC
- Validation of the PIC: registration data is verified by the REA Central Validation Service *before* the signature of the first grant agreement or contract
- The legal validation of a participant is *done once*: a valid PIC is *reused* for future EU-funded project participations



Validation is based on legal supporting documents, in accordance with the EU Financial Regulation and the [Rules on Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment](#)

Registration

Validation & Verification of  
Legal Data

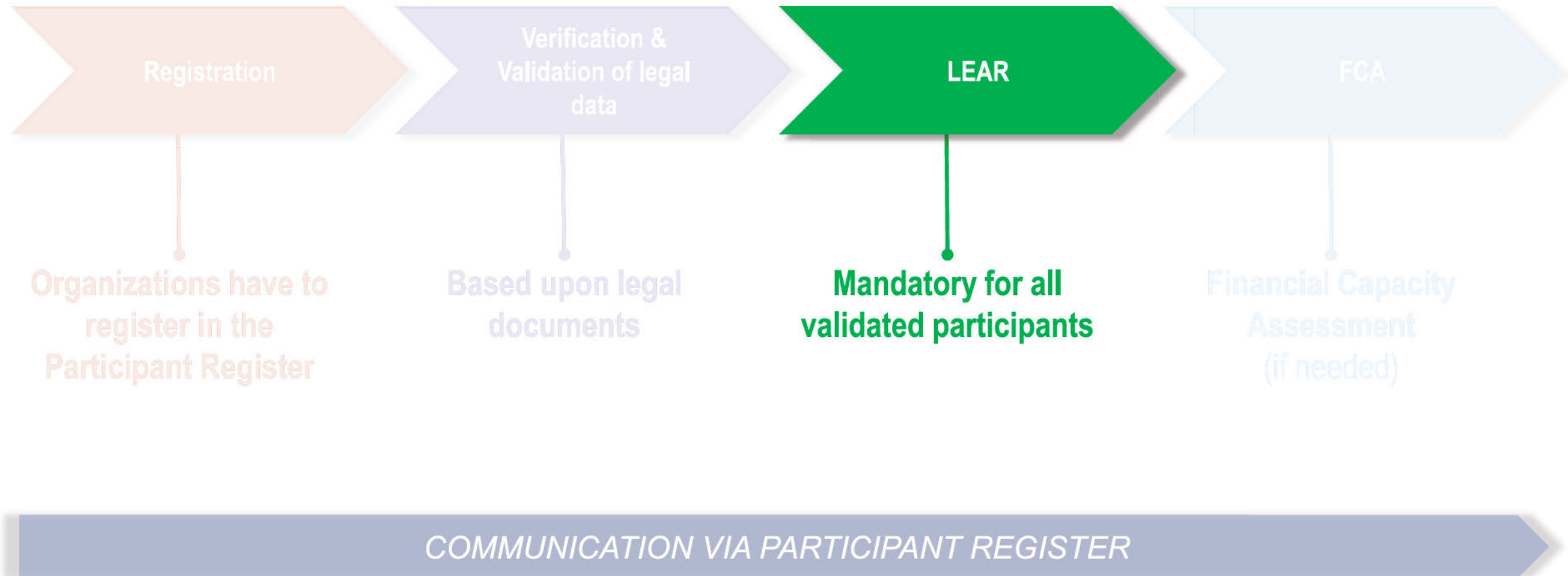
LEAR

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# Legal validation documents

- ✓ **Registration extract** (< 1 year) – for private law bodies
- ✓ **VAT extract** (< 1 year)
  - ✓ *If not registered for VAT – proof of VAT exemption*
- ✓ **Law/decreree/decision** – for public law bodies
- ✓ **Treaty** – for international organisations
- ✓ **Statutes** – for non-profit organisations

# Validation Process Overview



# LEAR roles and duties

The *Legal Entity Appointed Representative* (LEAR):

- Notifies the EU of changes in legal data/status
- Submits legal and financial documents if required
- Manages access rights of persons in the organisation (but *not* at the project level)
- Nominates 'Account Administrators' and individuals authorised to sign:
  - ✓ Contracts/grant agreements and their amendments (Legal signatories, i.e. **LSIGN**)
  - ✓ Financial statements (Financial signatories, i.e. **FSIGN**)

Registration

Validation & Verification of  
Legal Data

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# LEAR appointment documents

1. LEAR appointment letter (duly signed by both the legal representative and the LEAR)
2. Official proof of identity (ID-card/passport with photo and signature) of the legal representative and the LEAR
3. Proof of empowerment of the legal representative

**Scanned version** of these documents shall be uploaded in the Participant Register.  
**Original of 1** must be kept in the entity's premises.

Registration

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# Communication

(e.g. request to submit legal documents or to appoint a LEAR)

**All communication is exclusively managed through the Participant Register**

European Commission <EC-NO-REPLY-GRANT-MANAGEMENT@nomail.ec.europa.eu>

to me ▾

## Europa / Funding & Tenders Portal notification

Dear User,

You have been granted the role of **Self Registrant** for the organisation arquicios.

In order to access your organisation data on the Funding & Tenders Portal/Supplier portal, you need to log in on the F&T Portal/Supplier Portal. If you did not have an EU Login yet, it was launched automatically for a separate e-mail with a hyperlink to finalise your account.

For more information on the Funding & Tenders Portal roles, please refer to the [Online Manual](#) if you participate in the [programmes managed on the Funding & Tenders Portal](#).

For more information on the roles for e-Procurement, please refer to the [e-Procurement wiki page](#), if you participate in a tender call.

With kind regards,

EU Single Electronic Data Interchange Area - F&T Portal team

This email has been auto-generated. Please do not reply to this account. Your email will not be read. For any inquiries please contact the Grants Procurement Systems Support +32 (2) 29 71063 or [EC-FUNDING-TENDER-SERVICE-DESK@ec.europa.eu](mailto:EC-FUNDING-TENDER-SERVICE-DESK@ec.europa.eu).

**Messages are notified via e-mail** to the contact person  
(i.e. self-registrant or the appointed LEAR)

# Access lost to a declared or valid PIC

## Declared PIC

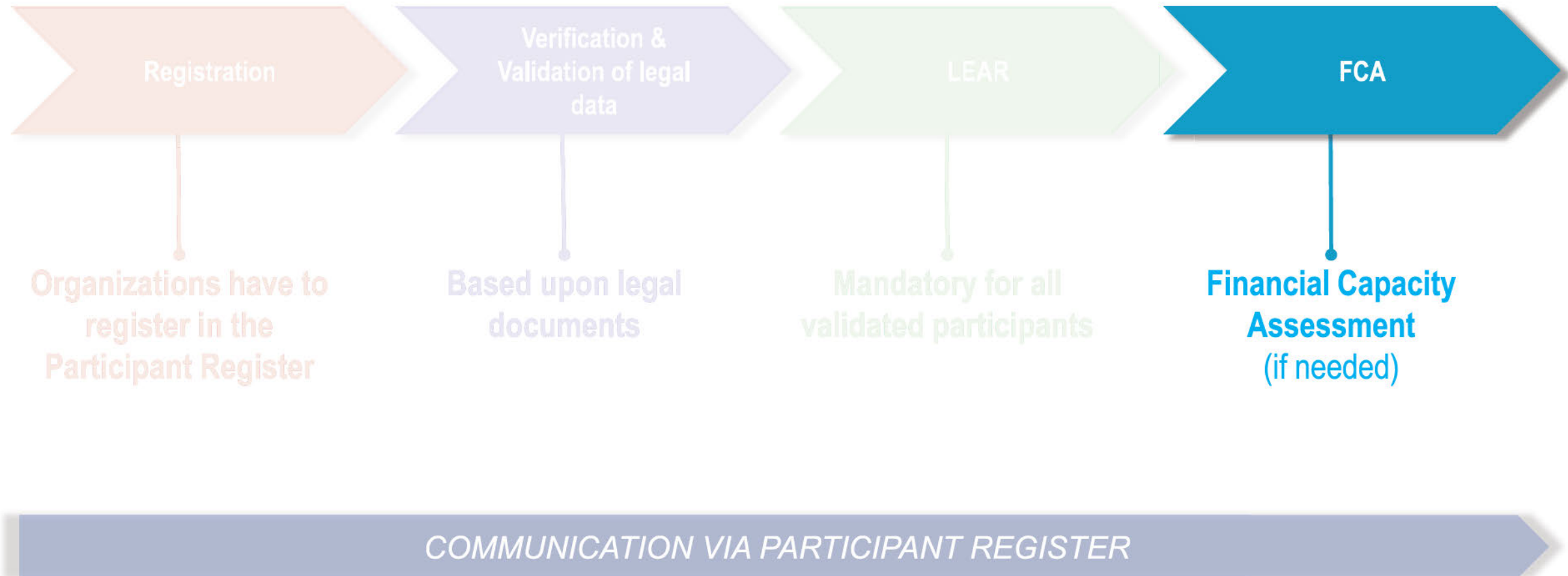
- In case the self-registrant left the organisation, and no one has access to a declared PIC – a new PIC needs to be created, and REA CVS informed

## Valid PIC

- If the LEAR is not available anymore, and there are no Account Administrators, a new LEAR needs to be appointed – LEAR recovery procedure

<https://ec.europa.eu/research/participants/urf/lear-recovery/request/>

# Validation Process Overview



# Financial Capacity Assessment (FCA)

## Legal basis



**Financial Regulation (2024)**  
(articles 199 and 201)



**Rules on Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment for EU Grants and Tenders.**



**Call for Proposals**  
("7. Financial & operational capacity and exclusion")

Registration

Validation & Verification of  
Legal Data

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# Financial Capacity Assessment (FCA)

## When is the FCA needed?

✓ **all beneficiaries**, except for:

**public bodies** (including local, regional or national authorities)

individual grant requests of **less than 60,000 EUR**

✓ **may be requested** for other beneficiaries/ affiliated entities

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# FCA supporting documents

(via Participant Register)

✓ Balance sheet

## Balance Sheet

- ASSETS
- LIABILITIES
- EQUITY

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# FCA supporting documents

(via Participant Register)

✓ Balance sheet

✓ Profit and loss account

**Balance Sheet**

**Profit & Loss  
account**

- REVENUES
  - EXPENSES
- 
- Net result

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# FCA supporting documents

(via Participant Register)

- ✓ Balance sheet
- ✓ Profit and loss account
- ✓ Explanatory notes and/or annexes (if available)

**Balance Sheet**

**Profit & Loss  
account**

**Explanatory  
notes**

**Annexes**  
to the FS

Details on accounts

Breakdown on items

Additional explanations

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

## Financial Audit report

### Opinion

- Unqualified
- Qualified
- Adverse
- Disclaimer

## Balance Sheet

## Profit & Loss account

## Explanatory notes

## Annexes to the FS

if the requested EU-contribution exceeds  
EUR 750.000 (art. 199 FR2024)

Registration

Validation & Verification of  
Legal Data

LEAR

FCA



# FCA supporting documents

(via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

*...or Self Declaration on the accounts*

if the requested EU-contribution exceeds  
EUR 750.000 (art. 199 FR2024)

## Financial Audit report

### Opinion

- Unqualified
- Qualified
- Adverse
- Disclaimer

## Self Declaration on the accounts

I, the undersigned  
declare that the  
accounts are:

- VALID
- no audit required

Signed

## Balance Sheet

## Profit & Loss account

## Explanatory notes

## Annexes to the FS

Registration

Validation & Verification of  
Legal Data

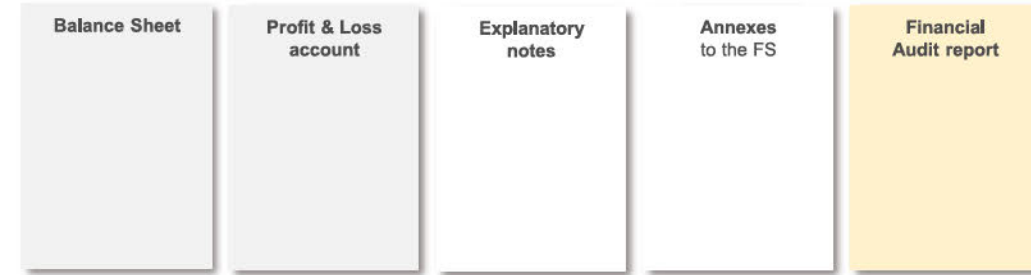
LEAR

FCA

# FCA supporting documents

(via Participant Register)

- ✓ Balance sheet
- ✓ Profit and loss account
- ✓ Explanatory notes and/or annexes (if available)
- ✓ Financial audit report



Registration

Validation & Verification of  
Legal Data

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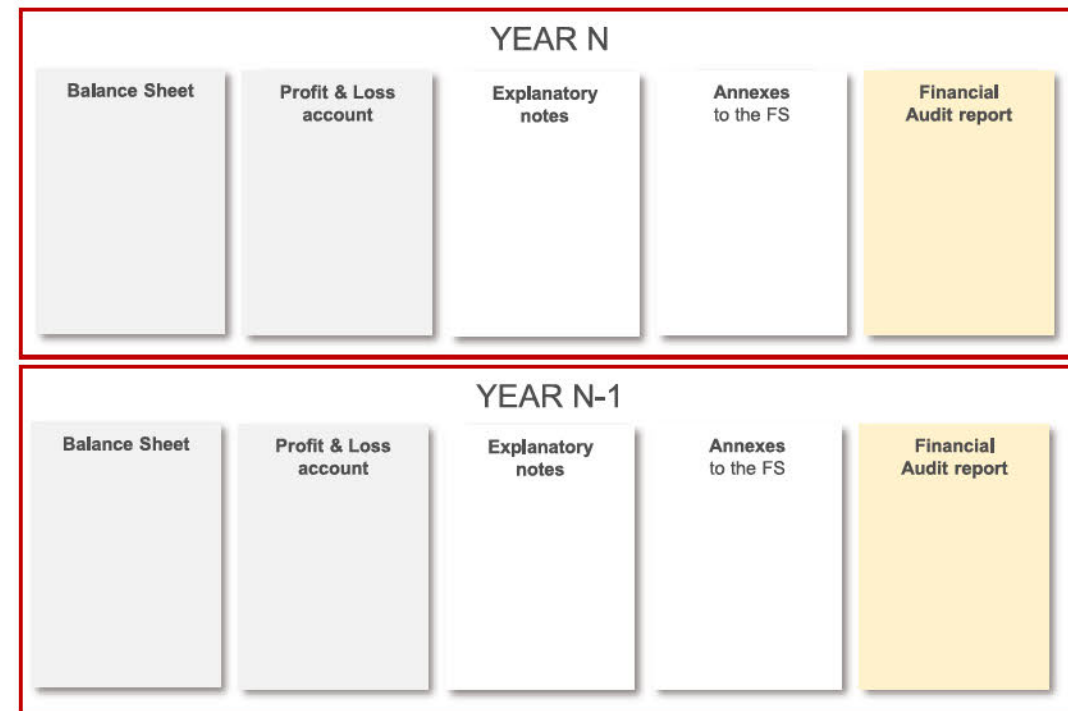
# FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report



## For the 2 most recent closed and approved financial years

- official language (for EU languages)
- unofficial translation (for non-EU languages)
- dated
- signed

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

## Newly established entities

✓ Business plan

YEAR N and YEAR N-1

Balance Sheet	Profit & Loss account	Explanatory notes	Annexes to the FS	Financial Audit report
Balance Sheet	Profit & Loss account	Explanatory notes	Annexes to the FS	Financial Audit report

## For the 2 most recent closed and approved financial years

- official language (for EU languages)
- unofficial translation (for non-EU languages)
- dated
- signed

## Business plan

Forecasts on:

- Sales
- Expenses
- Investments
- Financing sources
- .....

Registration

Validation & Verification of  
Legal Data

LEAR

FCA

# FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

YEAR N and YEAR N-1

Balance Sheet	Profit & Loss account	Explanatory notes	Annexes to the FS	Financial Audit report
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## For the 2 most recent closed and approved financial years

- official language (for EU languages)
- unofficial translation (for non-EU languages)
- dated
- signed

Business plan

## Newly established entities

✓ Business plan

Once completed, the assessment is valid for **18 months**  
from the closing date

Registration

Validation & Verification of  
Legal Data

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FCA



# Financial Capacity Assessment:

## Requests in Participant Register


PIC 890116427

Organisation Data

Legal Information

Authorised users / LEAR


Bank Accounts

Financial capacity 

Messages 1

Documents

SME



2

3

4

Financial capacity

Closing date

Upload documents

Confirm/Submit

You might be requested to provide additional financial information. In this case you are asked to upload your financial statements containing the balance sheet, the profit & loss account, the corresponding explanatory notes and, under certain conditions, an audit report issued by a certified external auditor or a self-declaration on the validity of the accounts, signed by your legal representative, the template for which is available [here](#).

The financial statements must cover the last two most recent closed years. Note that, once uploaded, the financial statements for an accounting year with a closure date on or before 30 June will be displayed by the IT systems as related to the prior calendar year. [Find out more](#).

Closing date	Status	Actions
	Data Required	<div>Provide necessary financial information</div>

Registration

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Legal Data

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# Financial Capacity Assessment:

## Requests in Participant Register


PIC 890116427

[Organisation Data](#) [Legal Information](#) [Authorised users / LEAR](#) [Bank Accounts](#) [Financial capacity](#) [Messages <sup>1</sup>](#) [Documents](#) [SME](#)

[+ New message](#)

### Messages

Read messages of your organisation and send messages to the EC ValidationServices.

Subject ↕	Message	Context ↕	Date ↕	Actions
 * Financial capacity assessment - Request for documents	Dear Participant, Following your recent a...	Financial capacity assessment	06/07/2021	<a href="#">View</a>

[⏮](#) [⏪](#) [1](#) [⏩](#) [⏭](#)

Registration

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FCA

# Guidance documents



## **Rules on Legal validation, LEAR appointment and financial capacity assessment**

[https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/rules-lev-lear-fca\\_en.pdf](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/rules-lev-lear-fca_en.pdf)



## **How to register in the Participant Register**

<https://webgate.ec.europa.eu/funding-tenders-opportunities/display/OM/Online+Manual>



## **Online Manual, IT How to, RES Helpdesk, specific FAQs on the Tenders Portal**

<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/support>



## **Legal notice on the Funding and Tenders Portal**

<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice>

Questions, please...

